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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/085,581	02/26/2002	Yu-Cheun Jou	020278	8984

23696 7590 04/27/2009  
QUALCOMM INCORPORATED  
5775 MOREHOUSE DR.  
SAN DIEGO, CA 92121

EXAMINER
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PATEL, NIRAV B

ART UNIT	PAPER NUMBER
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2435

NOTIFICATION DATE	DELIVERY MODE
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04/27/2009

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/085,581	<b>Applicant(s)</b> JOU ET AL.	
	<b>Examiner</b> NIRAV PATEL	<b>Art Unit</b> 2435	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 24 March 2009 (RCE).
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-6,8-10,20,22-25,27-29 and 39-42 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-6,8-10,20,22-25,27-29 and 39-42 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>10/22/08</u> .  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. Applicant's submission for RCE (Request for Continued Examination) filed on March 24, 2009 has been entered.
2. Claims 1, 3-6, 8-10, 20, 22-25, 27-29, 39-42 are pending. Claims 1, 3, 4, 6, 20, 22, 25, 39, 40, 41 are amended and Claim 42 are newly added by applicant.

### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 1, 3-6, 8-10, 20, 22-24, 25, 27-29, 39-40 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claim 1, recites "A method for scrambling information bits in a communications system comprising: determining a scrambling sequence based on a metric of system time.....; scrambling the information bits of the control message with the determined scrambling sequence....". It is noted that as **per method claims**, the Federal Circuit Courts recently ruled that for method claims to be statutory, the method must either (1) be tied to a machine or (2) transform an article, see *In re Bilski*, 545 F3d 943, 88 USPQ2d 1385 (Fed. Cir. 2008). The claim is directed to method steps which can be practiced mentally in conjunction with pen and paper, therefore same method steps are directed to non-statutory subject matter. Moreover, each of the claimed steps, inter alia, "determining a scrambling sequence.....; scrambling the information bits of the control

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message with the determined scrambling sequence...” can be practiced mentally in conjunction with pen and paper. The claimed steps do not define a machine or computer implemented process steps do not define a machine or computer implemented process (see MPEP § 2106).

Claims 3-5 depend on claim 1, therefore they are rejected with the same rationale applied against claim 1 above.

Claim 6 have limitations that are similar to those of claim 1, thus they are rejected with the same rationale applied against claim 1 above.

Claims 8-10 depend on claim 6, therefore they are rejected with the same rationale applied against claim 6 above.

Claim 20 recites, "An apparatus for scrambling information bits in a communication system, the apparatus comprising: means for determining a scrambling sequence....; means for scrambling information bits.....". The claimed apparatus directs to logic or module or algorithm and in accordance with the applicant's specification, logic or module or algorithm is computer software [**Specification, page 15, paragraph 1055**]. As such, the claimed apparatus must include hardware or physical transformation necessary to realize any of the functionality of the claimed modules and produce a useful, concrete and tangible result. Absent recitation of such hardware or physical transformation as part of the claimed apparatus, it is considered non-statutory. Claims 22-24 depend on claim 20, therefore they are rejected with the same rationale applied against claim 20 above.

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Claims 25, 39 and 40 have limitations that are similar to those of claim 20, thus they are rejected with the same rationale applied against claim 20 above.

Claims 27-29, depend on claim 20, therefore they are rejected with the same rationale applied against claim 20 above.

### **Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1, 3, 4, 6, 8, 9, 20, 22, 23, 25, 27, 28, 39-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dent (US Patent No. 5,060,266) and in view of Juha Heikkilae et al (GB 2294853).

As per claim 1, Dent teaches:

determining a scrambling sequence in accordance with time (i.e. time corresponding to a slot) [Fig. 4, 6, 7, time clock or block counter controls the operation of the time-of-day or block-count driven ciphering/deciphering device, including a synchronization mechanism, col. 12 lines 47-50, col. 11 lines 10-28]; determining the time in accordance with a subinterval of a system time interval (i.e. time slot) of a control channel in which

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the information bits of a control message are to be transmitted [Fig. 6, 7, col. 12 lines 59-62, col. 13 lines 2-4, col. 7 lines 24-37]; and scrambling the information bits of the control message with the determined scrambling sequence in accordance with the time (corresponding to a slot) [Fig. 4-7, col. 12 lines 60-68, col. 13 lines 1-4].

Heikkilae teaches:

determining a scrambling sequence based on a metric of system time, wherein said determining a scrambling sequence includes determining the metric based on a subinterval of a system time interval [Fig. 7, page 11 lines 22-35, Fig. 6, 4].

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine Heikkilae with Dent to utilize the metric of system time for determining the scrambling sequence, since one would have been motivated to provide simple and flexible equipment for scrambling and descrambling data/information in the communication system [Heikkilae, page 3 lines 26-28].

As per claim 3, the rejection of claim 1 is incorporated and Dent discloses:

determining the metric (i.e. time corresponding to a slot) in accordance with a first subinterval of the system time interval [Fig. 7 → S1 or S2 or S3, time clock or block counter controls the operation of the time-of-day or block-count driven ciphering/deciphering device, including a synchronization mechanism, col. 12 lines 47-50, col. 13 lines 24-31].

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As per claim 4, the rejection of claim 1 is incorporated and Heikkilae discloses:  
performing mapping of the metric on the scrambling sequence [Fig. 7].

As per claim 6, Dent discloses:

determining an unscrambling sequence in accordance with time (i.e. time corresponding to a slot) [Fig. 4, 6, 7, time clock or block counter controls the operation of the time-of-day or block-count driven ciphering/deciphering device, including a synchronization mechanism, col. 12 lines 47-50, col. 13 lines 15-40]; determining the time in accordance with a first subinterval of a system time interval of a control channel (i.e. Fig. 7, time slot → S1 or S2 or S3 or S4) preceding a second subinterval of the system time interval by a pre-determined number of subintervals(i.e. Fig. 7, time slot → M5 or M21 or M37....etc.), wherein the second subinterval (i.e. message bits) comprises information bits of a control message transmitted on the control channel to be unscrambled [Fig. 4-7, col. 12 lines 60-63, col. 13 lines 21-24, col. 7 lines 24-37]; and unscrambling the information bits of the control message transmitted on the control channel with the determined unscrambling sequence in accordance with the time (corresponding to a slot) [Fig. 4-7, col. 13 lines 15-40].

Heikkilae teaches:

determining a unscrambling sequence based on a metric of system time, wherein said determining a unscrambling sequence includes determining the metric based on a subinterval of a system time interval [Fig. 7, page 11 lines 22-35, Fig. 6, 4].

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Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine Heikkilae with Dent to utilize the metric of system time for determining the scrambling sequence, since one would have been motivated to provide simple and flexible equipment for scrambling and descrambling data/information in the communication system [Heikkilae, page 3 lines 26-28].

As per claim 8, the rejection of claim 6 is incorporated and Dent discloses:

determining the first subinterval of the system time interval preceding the second subinterval of the system time interval by one subinterval [Fig.7, S3 – M37, time clock or block counter controls the operation of the time-of-day or block-count driven ciphering/deciphering device, including a synchronization mechanism, col. 12 lines 47-50].

As per claim 9, the rejection of claim 6 is incorporated and Heikkilae discloses:

performing mapping of the metric on the unscrambling sequence [Fig. 7].

As per claim 20, it encompasses limitations that are similar to limitations of claim 1.

Thus, it is rejected with the same rationale applied against claim 1 above.

As per claim 22, the rejection of claim 1 is incorporated and it encompasses limitations that are similar to limitations of claim 3. Thus, it is rejected with the same rationale applied against claim 3 above.



As per claim 23, the rejection of claim 20 is incorporated and it encompasses limitations that are similar to limitations of claim 4. Thus, it is rejected with the same rationale applied against claim 4 above.

As per claim 25, it encompasses limitations that are similar to limitations of claim 6. Thus, it is rejected with the same rationale applied against claim 6 above.

As per claim 27, the rejection of claim 25 is incorporated and it encompasses limitations that are similar to limitations of claim 8. Thus, it is rejected with the same rationale applied against claim 8 above.

As per claim 28, the rejection of claim 25 is incorporated and it encompasses limitations that are similar to limitations of claim 9. Thus, it is rejected with the same rationale applied against claim 9 above.

As per claim 39, it encompasses limitations that are similar to limitations of claim 1. Thus, it is rejected with the same rationale applied against claim 1 above.

As per claim 40, it encompasses limitations that are similar to limitations of claim 6. Thus, it is rejected with the same rationale applied against claim 6 above.

As per claim 41, it encompasses limitations that are similar to limitations of claim 1. Thus, it is rejected with the same rationale applied against claim 1 above.

As per claim 42, it encompasses limitations that are similar to limitations of claim 6. Thus, it is rejected with the same rationale applied against claim 6 above.

5. Claims 5 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dent (US Patent No. 5,060,266) in view of Juha Heikkilae et al (GB 2294853) and in view of Bodin (US Patent No. 6,973,189).

As per claim 5, the rejection of claim 1 is incorporated and Dent teaches performing an adding (using the modulo-2 adder Fig. 4, 203) of the information bits with the scrambling sequence [Fig. 4].

Bodin discloses:

performing an exclusive-OR of the information bits with the scrambling sequence [Fig. 2, col. 3 lines 41-46].

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine Bodin with Dent and Heikkilae, since one would have been motivated to provide the data transmission without needing to make

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substantial changes to the signaling protocol and/or system equipment [Bodin, col. 2 lines 14-16].

As per claim 24, the rejection of claim 20 is incorporated and it encompasses limitations that are similar to limitations of claim 5. Thus, it is rejected with the same rationale applied against claim 5 above.

6. Claims 10 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dent (US Patent No. 5,060,266) in view of Juha Heikkilae et al (GB 2294853) and in view of Fisher et al (US Patent No. 5,321,754).

As per claim 10, the rejection of claim 6 is incorporated and Fisher discloses: performing an exclusive-OR of the information bits with unscrambling sequence [Fig. 3, col. 7 lines 13-15].

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine Fisher with Dent and Heikkilae, since one would have been motivated to optimize the performance of the transmitter/receiver [Fisher, col.1 line 38].

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As per claim 29, the rejection of claim 25 is incorporated and it encompasses limitations that are similar to limitations of claim 10. Thus, it is rejected with the same rationale applied against claim 10 above.

### **Response to Amendment**

7. Applicant has amended claims 1, 6, 20, 25, 39, 40, 41, 42 which necessitated new ground of rejection. See new ground of rejection based on Dent (US Patent No. 5,060,266) and in view of Juha Heikkilae et al (GB 2294853).

Regarding to the Applicant's argument to the 35 USC § 101 rejections of claims 20, 22-24, 25, 27-29 and 39-40, Examiner disagrees with applicant's remark and still maintains that claims 20, 22-24, 25, 27-29 and 39-40 recite non-statutory matter because the pending apparatus claims do not expressively include any hardware and therefore, claims are considered as software per se (based on the specification, all modules or logics are implemented in software). See 35 U.S.C. 101 rejection above.

### **Conclusion**

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to NIRAV PATEL whose telephone number is (571)272-5936. The examiner can normally be reached on 8 am - 4:30 pm (M-F).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

*/N. P./*

*Examiner, Art Unit 2435*

*/Kimyen Vu/*

Supervisory Patent Examiner, Art Unit 2435